



Approved Persons

"The masks have fallen" (RBS report), Financial Adviser 6 January 2011

"Tribunal and fit and proper Panesar give FSA bloody nose on shadow directorships and Long Form A", Complinet 1 November 2010

"Tribunal and fit and proper Panesar give FSA bloody nose on shadow directorships and Long Form A", Complinet, 1 November 2010

"CP08/25: the extension of the approved persons regime before FSA has managed to make the existing one work", Complinet 6 January 2009

"The Tribunal Fryett case and 'involved' or 'knowingly concerned'", Complinet 26 November 2008

"Recent final notices and personal responsibility", Complinet 10 November 2008

"Approved persons and the BPS prohibition orders", Complinet, 8 September 2008

"PMSG and the removal of compliance officers for incompetence", Complinet 25 July 2008

"Four final notices, prohibition orders and complaints problems", Complinet 23 July 2008

"FSA receives a minor bloody nose from the FSMT on fit and proper", Complinet 9 May 2008

"McIntosh - another unfit and improper decision with a nasty tribunal sting for FSA", Complinet 31 January 2008

"The tribunal upholds one FSA decision, reverses another on "fit and proper" Complinet 3 January 2008

"FSA's latest batch of prohibition orders for obvious sinners", Complinet 20 December 2007

"The Piggott case - the tribunal brings you high jinks and impropriety", Complinet 24 January 2007

"Byrne banned for fake reinsurance transactions", Complinet 21 July 2006

"The Manchanda case - FSA loses another tribunal to Mackie and 'the facts'", Complinet 12 July 2006

"The Sodha case, complaints and fitness and propriety", Complinet, 16 June 2006

"FSA was right to refuse Eversure's authorisation application", Complinet 30 May 2006

"Elliott v the FSA- a case of unfit and definitely improper", Complinet 5 April 2006

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"Goshawk fined for not supervising its binding authorities... and much worse", Compliance Online, 17 October 2005

"The FSA bans IFA for lying on pension review returns", Compliance Online, 29 December 2004

"Blind-siding the regulator over underwriting limits generates a £20,000 fine for the Chief Executive", 29 November 2004

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